NOTIFICATION LETTER

Notification of intention to start branch office in:

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|  |

(the host Member State)

|  |  |
| --- | --- |
| Name of the management company |  |
| Address in home Member State |  |
| Details of contact person at the management company: |  |
| Name/Position |  |
| Telephone |  |
| E-mail |  |
| Fax |  |
| Address of the branch office in the host Member State |  |
| The names of those responsible for the management of the branch |  |
| Name |  |
| Name |  |
| Name |  |
| Name |  |

Attachment:

A programme of operations setting out the activities and services referred to in Article 6(2) and (3) envisaged and the organisational structure of the branch, which shall include a description of the risk management process put in place by the management company. It shall also include a description of the procedures and arrangements taken in accordance with Article 15 of the Directive 2009/65/EC.

|  |  |
| --- | --- |
| Title of document or name of electronic file attachment |  |

Confirmation by the management company

We hereby confirm that the documents attached to this notification letter contain all relevant information as provided for in the Directive 2009/65/EC. The text of each document is the same as that previously submitted to the competent authorities of the home Member State, or is a translation that faithfully reflects that text.

(The notification letter shall be signed by an authorized signatory of the management company or a third person empowered by a written mandate to act on behalf of the management company, in a manner which the competent authorities of the UCITS home Member State accept for certification of documents. The signatory shall state his/her full name and capacity, and shall ensure the confirmation is dated.)

|  |  |
| --- | --- |
| Signature and date |  |
| Name |  |
| Capacity |  |

ATTESTATION

The Netherlands Authority for the financial markets is the competent authority in The Netherlands

|  |  |
| --- | --- |
| Address |  |
| Telephone |  |
| E-mail |  |
| Fax |  |

hat carries out the duties provided for in Article 97(1) of Directive 2009/65/EC.

For the purpose of Article 17(3) of Directive 2009/65/EC the Netherlands Authority for the financial markets certifies that:

|  |  |
| --- | --- |
| Name of management company |  |

has been authorized pursuant to the provisions of the Directive 2009/65/EC.

|  |  |
| --- | --- |
| Scope of authorisation of the management company |  |

|  |  |
| --- | --- |
| Details of any restriction on the types of UCITS that the management company is authorized to manage |  |

|  |  |
| --- | --- |
| Applicable compensation scheme intended to protect investors |  |

Attestation shall be signed and dated by a representative of the competent authority of the UCITS home Member State in a manner that is accepted for the certification by that authority. The signatory shall state his or her full name and capacity.

|  |  |
| --- | --- |
| Signature and date |  |
| Name |  |
| Capacity |  |